

Finally, the letter stated IRB approval lapsed 8/3/00.

On 8/16/2000 Dr. Nevyas drafted a letter to [REDACTED] indicating the FDA had granted him an increase in the study patient population EXHIBIT #4. [REDACTED] sent Dr. Nevyas a letter dated August 30, 2000 reapproving the study effective the same date for another year EXHIBIT #5.

I explained to Dr. Nevyas that he did not have IRB coverage from 8/3/2000 and until 8/29/00. Dr. Nevyas stated his consultant, Barbara Fant was ill for several months and she normally took care of report submittals and updates which is why the firm was tardy with reporting updates. I indicated to Dr. Nevyas that either he or his consultant should have a back-up plan for such emergencies which could happen at any time. He stated a back-up plan would be drafted and implemented as soon as possible.

VOLUNTARY CORRECTIONS:

1. [REDACTED] was performed on IDE patient [REDACTED] and patient G [REDACTED] on 8/28/97 which was prior to the actual approval date.

According to Dr. Herbert Nevyas, he was not aware that [REDACTED] was not approved and could not be performed. He stated this observation represents a misunderstanding between the FDA and him.

Dr. Herbert J. Nevyas stated he had been doing this procedure previously and no one had told him the procedure couldn't be performed as of 8/28/97. There were no violations of this type observed during the current inspection.

2. IDE Patient [REDACTED] received [REDACTED] Enhancement on 9/25/97 OD (right eye) prior to the date approval was given to perform enhancements.

Dr. Anita Nevyas-Wallace; Co-Investigator performed this procedure and stated her father, Dr. Herbert J. Nevyas, told her it was okay to perform [REDACTED] enhancements. Both investigators indicated they did not know it was not approved. Dr. Nevyas stated he thought it was okay and remembers getting verbal approval from someone at FDA in Rockville Md. I indicated to Dr. Nevyas that in the future he should obtain documentation for all approvals given. There were no violations of this type observed during the current inspection.